

SHIN-NY Policy Guidance Updates for QE Certification

Doc Name	Version #	Areas Changed	Date Revised
Qualified Entity (QE) Minimum Core Services Technical Requirements	1.4	<ul style="list-style-type: none"> Simplified Core Services Table (including definitions and delineation of Local vs. Statewide SHIN-NY components and requirements) Removed open and outdated references to Statewide Collaboration Process Updated and clarified the subheading of “QE Capabilities” to “QE Certification Requirements” for each Core Service Addition of potential new Statewide Core Service: Clinical Data Exchange Between QEs and Between QEs and Public Health Departments Added “Appendix A” Service Level Agreements (SLAs) for Certification, to be inserted into the document upon completion: Note. In this 2021 year we will not be referencing the service levels for the core services with the exception of what was incorporated into the Participant Member Facing Services Help Desk. 	August 2020
Qualified Entity (QE) Organizational Characteristics Requirements	1.3	<ul style="list-style-type: none"> Updated certain Organizational Characteristic titles for clarity Included both QE Certification Requirements as well as QE Monitoring and Self-Audit Requirements for each Organizational Characteristic Removed outdated and unnecessary requirements 	August 2020
Qualified Entity (QE) Participant Member Facing Services	1.4	<ul style="list-style-type: none"> Updated QE Participant Member Facing Services Definitions Reordered certain definitions and requirements for clarity Added Service Level Agreement levels for QE Participant Authorized User Support (helpdesk) requirements specific to availability and performance 	August 2020
Oversight & Enforcement Policies and Procedures for QEs	1.3	<ul style="list-style-type: none"> Clarified DOH Oversight & Enforcement responsibilities Reordered and formatted certain requirements for clarity 	August 2020

All Guidance Documents	N/A	<ul style="list-style-type: none">• Standardized and corrected language, definitions, and formatting.• Updated open and outdated references	N/A