Certification RFP Questions

1. Does the maximum award include the budget for Trigger-Based Assessments as needed?

   **Response:** As noted in the RFP the applicant must factor in a minimum of $45,000 per year in the overall budget for trigger-based assessments.

2. We understand the “(2) Certification Process” in section IV.SCOPE OF WORK is the recertification process as per section I. STATEMENT OF PURPOSE. In section I it is listed as taking place every 5 years but in the scope section it is expected twice (2021 and 2024) so once every 3 years. We understand this means the frequency is thus increased. Is that accurate?

   **Response:** The 5 years was an error. The rotating occurrence for the full QE certification is every 3 years.

3. As the scope in section IV. Is subject to final approval and may change as necessary, will the Certification Body be able to revisit the budget accordingly?

   **Response:** Yes. Depending on the scope of the changes and the approved budget both the Certification Body and NYeC can negotiate any budget changes. It should be noted that any budget changes will depend on the availability of NYS DOH funding as an additional factor and will be based on full justification for the adjustments.

4. What will be the basis for the development of the SHIN-NY Certification and Monitoring Criteria and Standards?

   **Response:** The SHIN-NY Certification and Monitoring Criteria and Standards are based on the SHIN-NY Privacy and Security Policies and Procedures for QEs and their Participants and the other Policy Guidance Documents that flow from the SHIN-NY Regulation. Those documents can be found at: